

The introduction and implementation of Open Dialogue in a Day Center in Athens, Greece: experiences and reflections of mental health professionals.

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Abstract

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The present study is part of a large-scale original action-research project aiming to assess the introduction and implementation of the Open Dialogue approach within the clinical practice of an established multidisciplinary team in a Day Centre in Athens, Greece. More specifically, it aimed to explore the experiences of professionals within the process of implementation both in relation to their clinical practice and their professional identity. Data collection employed a focus group, which was set up to explore professional reflections of the implementation and research processes since the introduction of the model. Thematic Analysis of transcripts revealed two main themes that correspond to the impact of Open Dialogue on professionals' clinical practice and on team dynamics respectively. Professionals identify several challenges in implementing OD, such as difficulties in linking theory to practice, containing uncertainty, and addressing cultural barriers to dialogical ways of working. Professionals further reflect on their own internal journey stemming from the implementation of Open Dialogue that has led them to greater openness and growth, personally and as a team. The role of mental health professionals is being acknowledged as being at the frontline of any meaningful psychiatric reform through the assimilation and promotion of humanistic paradigms aiming towards a change of culture in psychiatric care across different contexts. Despite variations in implementation across different contexts, the importance of consolidating and embracing Open Dialogue as a philosophical framework underpinning mental health care is being discussed.

1 **1. Introduction**

2 The Open Dialogue approach constitutes an alternative to traditional psychiatric
3 care for individuals experiencing mental health difficulties, particularly psychosis,
4 and marks an inherently democratic shift in mental health care by introducing service
5 user social network (including mental health professionals) as an integral element of
6 their recovery and psychosocial rehabilitation. Open Dialogue is distinct from
7 conventional approaches to mental illness in that mental health crises are understood
8 as relational – existing in the relationships between people – as opposed to
9 individualistic – located solely within the individual; equally, the goal of therapy is
10 not to treat disease but to support dialogue within social networks rather than
11 changing the service user’s behavior per se (Dawson et al., 2019).

12 Existing limitations of the biomedical model and the often-ambivalent attitudes of
13 professionals regarding service user rights further highlight the need for a structural
14 reform in psychiatric care aiming at the democratization of mental health care
15 (Stylianidis, 2019a; Stylianidis, 2019b; Florence et al, 2020). The Open Dialogue
16 approach re-conceptualizes dominant notions of mental illness and underpins an
17 essential move towards psychiatric reform and service user empowerment that values
18 service user and family member experiences as important knowledge bases (Gordon
19 et al., 2016). In that respect, Open Dialogue is not only a novel psychotherapeutic
20 approach but also proposes a new way of organizing and structuring responsive and
21 coherent mental health services that ensure continuity of care (Buus et al., 2017;
22 Dawson et al., 2019).

23 The Open Dialogue approach and its role in the prevention of relapse and
24 promotion of mental health has been systematically applied in Scandinavian
25 countries, Northern Europe, Australia and the US with culturally specific
26 modifications in order to adapt to different mental health services and contexts (Buus
27 et al, 2017; Gidugu, 2017; Stockman et al., 2017; Tribe et al., 2019; Dawson, 2019,
28 2020; Florence et al., 2020). The role of mental health professionals is being
29 acknowledged as increasingly vital in promoting the psychosocial integration of
30 service users and in challenging dominant psychiatric paradigms (Buus et al., 2022).
31 In that respect, mental health professionals are at the frontline of a meaningful
32 implementation of Open Dialogue through the assimilation and promotion of
33 democratic, humanistic principles aiming towards a change of culture in psychiatric
34 care across different contexts.

35 **1.1. Implementation of Open Dialogue across different cultures**

36 Most studies on OD implementation attempts have taken place in Scandinavian
37 countries (Buus et al, 2017), with few qualitative studies focusing on the experiences
38 of mental health professionals in introducing or implementing Open Dialogue in their
39 clinical work, across other cultural contexts (Dawson et al., 2020).

40 *Implementation of OD in Scandinavian & Nordic countries*

41 Buus et al. (2017) undertook a scoping review of OD implementation studies
42 across Scandinavian countries. Thylstrup (2009) reports that whilst service users

43 ascribed much value to relationships and in transcending social isolation as a result of
44 Open Dialogue interventions, staff found it challenging to collaborate with
45 professionals from other disciplines, and often felt inadequate in providing Open
46 Dialogue. Similarly, Johansen & Bille (2005), report that the purpose and aims of
47 network meetings were not always clear to network members, nor was the
48 professionals' level and type of engagement primarily due to the cautious attitude of
49 professionals towards the approach. The authors suggest that the Open Dialogue
50 approach ought to be used in families whose thinking is somewhat aligned with such
51 an unconventional approach to mental health, thus posing the issue of therapeutic
52 match between approach and client. Sjømelding (2012) further reports that
53 professionals felt that network meetings were personally challenging because of high
54 levels of uncertainty and disclosure. Such professional uncertainty with regard to the
55 level and type of involvement is also reported by Piippo & Aaltonen (2008), who
56 found that participants who had received Open Dialogue interventions described
57 mistrust in situations where the professionals' team was experienced as either over-
58 involved or uncertain and ambivalent in taking decisions. Similar research reports that
59 whilst mental health professionals overall seem to evaluate the Open Dialogue
60 positively in enhancing their clinical skills and attitude, they nevertheless struggle
61 with abandoning their usual expert role and with maintaining a not-knowing stance
62 towards the outcome of dialogical position (Brottveit, 2002; Bjørnstad, 2012;
63 Schubert et al, 2020).

64 Johansen & Weber (2007) report resistance towards the implementation of OD
65 at an individual, organizational, and professional level. Clinicians in their study found
66 it challenging to refute their expert role and establish a new type of expertise that
67 would both accommodate the non-hierarchical structure of the approach as well as
68 maintain their professional identity. Similarly, Søndergaard (2009) reports that despite
69 attempts to implement the Open Dialogue approach in a small outreach mental health
70 team in Denmark, professionals eventually abandoned the project during the process
71 of its implementation. Holmesland et al. (2010) and Holmesland, Seikkula
72 & Hopfenbeck (2014) also explored the experiences of healthcare professionals
73 working in a dialogical way. Findings revealed that professionals were able to
74 develop a trans-professional identity and role, however the greatest challenge was to
75 foster the professionals' ability to genuinely listen. Interestingly, less experienced
76 professionals without formal therapeutic training were reported as being better able to
77 integrate Open Dialogue skills into their practices, a finding also reported by Clement
78 & McKenny (2019).

79 Overall, findings from Nordic and Scandinavian countries suggest that the
80 introduction of Open Dialogue often generated resistance from practitioners, whose
81 position and identity were challenged in several ways; in some cases, findings implied
82 a lack of genuine engagement and understanding of dialogism by professionals.
83 Finally, reports highlighted that not everyone experienced Open Dialogue positively.
84 For example, families with a strong belief in authority and an expectation of being
85 directed by mental health professionals may find the open format of the approach
86 confusing and frustrating. The small body of research examining Open Dialogue
87 implementation in Scandinavia suggests that the adoption of the Open Dialogue

88 principles require significant organizational change, which may in turn generate
89 organizational, professional and personal resistance (Buus et al., 2017).

90 *Implementation of OD across other cultural contexts*

91 There is very little research from non-Scandinavian countries regarding the
92 introduction of Open Dialogue and no extensive reviews on implementation and
93 organizational processes (Freeman et al., 2019; Dawson et al., 2019, 2020; Florence et
94 al., 2020).

95 In a couple of Australian studies, Dawson et al. (2019; 2020) report that
96 despite professionals' openness and supportive attitude towards the approach, existing
97 organizational ideology and structures clashed with the integration of Open Dialogue
98 principles. Dialogical ways of working were challenged by the dominant medical
99 model and the emphasis placed upon economic efficiencies by the organization. These
100 studies highlight the importance of a 'good' fit between organizational culture and
101 efforts to implement recovery-oriented care (Dawson et al., 2019; 2020). In Canada,
102 Florence et al. (2020) further report that even though Open Dialogue is an approach
103 that challenges power differentials in mental health, power dynamics, issues of
104 authority, status and expertise remained prominent within the professionals' team
105 even after the introduction of the approach. Further, staff reported that whilst giving
106 up power within the treatment setting was positive and liberating, it was somewhat
107 disorienting when it came to issues of risk and suicidality of service users and to re-
108 negotiating aspects of their professional identity (Florence et al., 2020; Schubert et al.,
109 2020). Equally, research on attempts at implementation of Open Dialogue in the US
110 and the UK reveals that although Open Dialogue is acknowledged as clinically
111 helpful, training costs and the need to translate OD principles into the local context
112 may constitute barriers to effective implementation (Gordon et al., 2016; Kinane et
113 al., 2022; Rosen & Stoklosa, 2016; Tribe et al., 2019).

114 *Implementation of Open Dialogue and organizational change*

115 Taken together, implementation studies suggest that the adoption of Open
116 Dialogue requires significant organizational change. Research on implementation
117 attempts outside Scandinavian countries, further highlight the importance of context
118 and culture and the ways in which such parameters may affect effective and long-term
119 implementation. Still, the paucity of research across different cultural contexts limits
120 our understanding of the perceived benefits and challenges to fully implementing OD-
121 informed approaches successfully (Dawson et al., 2019, 2020; Florence et al., 2020;
122 Freeman et al., 2019). The relative success or failure of any implementation may be
123 attributed to diverse social, cultural and organizational factors including the broader
124 social, economic, cultural and political contexts (Damschroder et al., 2009; Dawson et
125 al, 2019, 2020). The available research emphasizes the need for careful organizational
126 consideration and commitment in order to ensure that the professionals involved both
127 understand Open Dialogue and find it an acceptable and realistic socio-cultural fit to
128 local conditions (Gidugu, 2017; Dawson et al., 2019; Ong et al., 2019; Tribe et al,
129 2019).

130 Variation in models of Open Dialogue across different settings, heterogeneity
131 of methodologies following the implementation process and lack of consistency in
132 implementation strategies mean that thorough descriptions of implementation are still
133 lacking in the literature and that more research is needed to support implementation
134 efforts as well as organizational and professional adjustment to dialogical ways of
135 working (Freeman et al. 2019; Twamley et al., 2021). Organizational change
136 transcends through different stages and impacts employee values and dynamics
137 (Aarons et al., 2011; Hussain et al., 2018), whilst the outcome of any reform is
138 mediated by professional attitudes towards change, anticipated gains and the quality
139 of the management in containing tension. It is particularly helpful for facilitators of
140 change to maintain ongoing communication and transparency among everyone
141 involved, in order to disseminate information, reduce team anxiety and promote a
142 sense of inclusion as well as psychological and practical commitment (Herscovitch
143 & Meyer, 2002; [Weiner et al., 2008](#); Tribe et al., 2019).

144 **1.2. The role of mental health professionals**

145 Research suggests that overall, the OD approach is being welcomed by
146 professionals as a good and inspiring alternative to conventional mental health
147 practices; Open Dialogue seems to be appreciated by mental health professionals, as it
148 socializes them into a dialogical and reflective way of being with the other,
149 characterized by understanding and a willingness to share aspects of oneself
150 ([Holmesland et al., 2010, 2014](#); [Buus et al, 2017, 2020](#); [Galbusera & Kyselo, 2019](#);
151 [Kinane et al., 2022](#)).

152 Drawing from Mikhail Bakhtin's views on dialogism and polyphony (Bakhtin,
153 1986; Anastasiades & Issari, 2014), the Open Dialogue approach essentially
154 challenges mental health professionals to adopt dialogue and polyphony as the
155 primary vehicle for constructing meaning and change in their clinical practice
156 (Seikkula & Olson, 2003; Stockman et al., 2017; Buus et al., 2022). Mental health
157 professionals are asked to participate in the dialogue not from a traditional 'expert'
158 stance but through their authentic thoughts and feelings; in that respect, they need to
159 be engaged into active listening, promoting space for whatever emerges from the
160 dialogue, without censoring it (Hendy & Pearson, 2020). The challenges that have
161 been identified around the implementation and practice of Open Dialogue, indeed
162 seem to refer to mental health professionals' difficulties in abandoning traditional
163 professional roles, organizational difficulties in supporting implementation attempts
164 as well as the uncertainty around applying such a relational stance into clinical
165 practice (Buus et al, 2017; Ong & Buus, 2021; Kinane et al., 2022).

166 In that context, mental health professionals from different disciplines need to
167 challenge their own assumptions around hierarchy and to work towards the cultivation
168 of a democratic culture within the organization ([Seikkula & Olson, 2003](#); [Holmesland
169 et al., 2010](#)). Therapist experience and specialization in a specific discipline may
170 indeed be challenging for mental health professionals that are members of a
171 multidisciplinary team as they may actively aim for targeted interventions or solutions
172 perhaps as a means of regulating their own anxiety and need to control therapeutic
173 outcome (Borchers, 2014; Buus et al., 2017; Stockmann et al., 2017; Schubert et al.,

174 2020). Mental health professionals may face challenges in integrating practices that
175 are not taught but rather experientially acquired and require the adoption of a new
176 modus operandi where transparency and acting from a non-expert stance are
177 elementary; further research seems to confirm that Open Dialogue principles may
178 often cause insecurity in mental health professionals that may lead to reduced
179 participation and questioning of the model (Buus et al., 2017; Dawson et al., 2019,
180 2020, Florence et al., 2020; von Peter, Eissing & Saliger, 2023).

181 In this study we will focus on the case of Greece and on the attempts to
182 introduce and implement Open Dialogue within an established mental health service.

183 **1.3. Open Dialogue in a Day Care Centre in Greece**

184 The present action-research was implemented longitudinally since September
185 2018, in collaboration with Panteion University (Laboratory of Psychopathology,
186 Social Psychiatry and Developmental Psychology) and National and Kapodistrian
187 University of Athens (Laboratory for Qualitative Research in Psychology &
188 Psychosocial Well-being). The study aimed towards an in-depth understanding of the
189 impact of the introduction of Open Dialogue in a multidisciplinary team of mental
190 health professionals in a Day Centre for Psychosocial Rehabilitation in Athens.

191 More specifically, *the setting* is a Day Centre for Psychosocial Rehabilitation,
192 a community mental health unit for adults suffering from serious mental health
193 disorders and their families. The multidisciplinary *team* consists of psychiatrists,
194 psychologists, social workers, occupational therapists and psychiatric nurses.
195 Professionals had not attended any certified training in Open Dialogue except for brief
196 introductory seminars delivered online, by Scandinavian colleagues, who had a long
197 experience in the implementation and practice of Open Dialogue. Further, participants
198 were acquainted with Open Dialogue experientially, through the establishment of a
199 weekly Open Dialogue discussion group, a forum created by professionals themselves
200 that aimed at the familiarization, self-education and self-reflection on Open Dialogue
201 practices and any other issues and dynamics that emerged as a result of
202 implementation attempts (Hoper et al, 2019).

203 The introduction and implementation of the Open Dialogue in the Day Centre
204 has developed over the course of five years and can be conceptualized in two phases
205 namely, an earlier phase and a later phase. The aim of the present paper is to present
206 the later phase of the study which focuses on the experiences of professionals within
207 the process of implementation both in relation to their clinical practice and their
208 professional identity. However, as this is a five-year long project, which represents an
209 ongoing, internal process from the part of professionals in relation to Open Dialogue,
210 it seems important to provide a brief summary of the earlier phase of the study in
211 order to depict the development of the journey.

212 The early phase extended from September 2018 to January 2020. During the
213 early phase two distinct main themes were identified that correspond to two separate
214 time periods with regard to the early phase of the study. Taken together, main themes
215 and subthemes create a coherent story about the team's journey with Open Dialogue
216 over time (Skourteli et al., 2019; 2021).

217 During the “*Introductory-Exploratory*’ period the multidisciplinary team felt
218 that was in a position of passivity and disempowerment regarding the implementation
219 of the Open Dialogue approach. The research itself was viewed as part of a vertical
220 hierarchy that imposed the new approach; group dynamics were affected, and initial
221 stages of the introduction were marked by anxiety and suspicion around issues of
222 authority and power. Ambivalence towards the new model was initially expressed
223 through a depreciation of the approach as introducing “nothing new” to treatment as
224 usual (Sondergaard, 2009; Holmesland et al., 2014). The team initially attempted to
225 manage the introduction of the Open Dialogue approach by equating and assimilating
226 it to already existing representations and practices by actively seeking points of
227 convergence between established and novel approaches. Although attractive, the
228 democratizing and deeply reforming nature of Open Dialogue appeared to evoke
229 insecurities with professionals feeling unprepared to engage with it (Skourteli et al.,
230 2019; Stylianidis, 2019b; Schubert et al., 2020). These initial findings seem consistent
231 with literature highlighting the resistance of mental health professional teams in
232 assimilating Open Dialogue as part of their professional practice (Sondergaard, 2009;
233 Thylstrup, 2009; Holmesland et al., 2010; Seikkula, 2011; Holmesland et al., 2014;
234 von Peter, Eissing & Saliger, 2023).

235 Over time, during the ‘*Introductory Systematizing*’ period, following
236 significant structural and systemic changes within the service – along with the
237 researchers’ sharing of preliminary findings with the OD team-- mental health
238 professionals seemed to gradually move from a position of passivity to one of
239 responsibility and agency with respect to the introduction of the Open Dialogue
240 approach. Monthly team supervision, introduced as part of the research protocol
241 significantly facilitated the necessary space for reflection and supported the Open
242 Dialogue team in becoming more defined. Over time, the Open Dialogue team was
243 able to better integrate dialogical ways of being into their identity and practice, whilst
244 maintaining a realistic view of the challenges and ongoing needs (Skourteli et al.,
245 2021). For a more detailed account of earlier phases of the research, see Skourteli et
246 al. (2019, 2021).

247 The later phase of the research project presented here, focuses on the overall
248 stocktaking, experiences and reflections of professionals on the implementation of
249 Open Dialogue as well as the challenges and main issues that emerged throughout this
250 process.

251 **2. Methodology**

252 The overall project employs an action-research methodology following the
253 introduction and implementation of the Open Dialogue approach within a
254 multidisciplinary team of mental health professionals. Action-research seems an
255 appropriate choice of methodology, since it seeks transformative change in the
256 clinical and organizational aspects of the mental health service presented here,
257 through the simultaneous process of taking action (OD implementation) and doing
258 research, linked together by critical reflection. As its goal is oriented towards
259 organizational change, the knowledge produced and actions undertaken inform each

260 other in cyclical ways over the process of the research (Stringer& Genat, 2004; Issari
261 &Polyzou, 2013).

262 **2.1. Participants**

263 In the later phase of the study participated eleven professionals (four
264 psychologists, two psychiatrists, two social workers, an occupational therapist and
265 two mental health nurses). None of the participants had attended any formal OD
266 training but were attending monthly external supervision for the past two years, with
267 two senior colleagues that had completed the structured 3-year OD training in the UK
268 Inclusion criteria for therapists included the implementation of the OD approach in
269 their practice.

270 **2.2. Data collection**

271 A focus group was set up that consisted of professionals implementing Open
272 Dialogue principles in their clinical practice. The aim of the group was to explore the
273 overall experience of the implementation process within the service as well as to
274 review and reflect upon the professionals' journey with Open Dialogue. The focus
275 group was facilitated by the senior researcher overlooking the study (the first author)
276 and lasted approximately 2.5 hours. The facilitator initially introduced broader
277 questions on the impact of implementation before exploring more specific aspects of
278 participants' experience. Questions aimed at eliciting narratives on the development
279 and implementation of the Open Dialogue approach within the Day Centre. Some
280 examples included: what is your experience of Open Dialogue? how has your
281 experience evolved over time? how has Open Dialogue affected your clinical
282 practice? what are the gains and challenges of implementing this approach? how was
283 your experience of participating in the current research whilst implementing a novel
284 approach? Participants were encouraged to express their experiences and to interact
285 with each other, as the latter prompted new questions that clarified individual and
286 shared perspectives. The focus group was conducted in order to uncover a shared
287 understanding of how aspects of Open Dialogue was implemented and to capture
288 interactions and contrasting perspectives amongst participants (Buus et al., 2022). The
289 focus group was audio-recorded and transcribed verbatim by the senior researcher
290 with indications of basic turn-taking features, including interruptions and overlapping
291 speech (Tong et al., 2007). The quality of the transcripts was assessed by comparing
292 transcriptions to audio recordings, with the help of a second senior researcher,
293 specializing in qualitative research methods, which led to a few corrections of details
294 of the transcripts.

295 **2.3. Ethics**

296 The present study took place with the informed consent of all participants. The
297 nature and aims of the study were thoroughly explained to members of the
298 multidisciplinary team and written consent was obtained, whilst participants
299 maintained their right to withdraw from the research process until the point of
300 verbatim transcription of the focus group. Collected data were coded to promote
301 anonymity and confidentiality of all participants and were stored electronically in
302 password-protected files only accessible by the researchers; following completion of

303 the research, all data will be permanently destroyed. Finally, participants of the focus
304 group were debriefed about the research process in order to promote transparency and
305 inclusion in the research process (Emerson et al., Howitt, 2010; Issari & Pourkos,
306 2015).

307 **2.4. Data Analysis**

308 Thematic analysis with an experiential and realist orientation (Braun & Clark,
309 2006) was utilized for the analysis of data produced from the professionals' focus
310 group. Audio recordings of the focus group were transcribed verbatim, and transcripts
311 were analyzed inductively in order to reflect the experience of participants.
312 Transcripts were read and re-read by researchers in order to generate some initial
313 codes which were then organized into recurrent patterns or themes in what is being
314 discussed. Produced themes were then reviewed and refined to ensure that themes
315 cohered meaningfully whilst reflecting distinct and identifiable entities that
316 correspond to participant narratives. The researchers followed Braun & Clark's
317 (2006) six steps which included familiarization with the data, generation of initial
318 codes, searching for themes, reviewing potential themes, defining and naming them.
319

320 **3. Results**

321 Themes that were produced from thematic analysis of the focus group
322 highlighted the impact that Open Dialogue has had not only upon professional clinical
323 practice, but also on group dynamics and team processes over time. Professionals
324 were able to verbalize clinical concerns and to maintain a critical stance towards the
325 Open Dialogue approach. The participation in the present action-research itself seems
326 to have facilitated team openness and growth both professionally and personally.
327 Overall, two master themes were produced from data analysis with seven
328 corresponding subthemes (three and four subthemes respectively). Table 1 outlines
329 the master themes and subthemes that were produced from the thematic analysis of
330 the professionals' focus group.

331 **3.1. Impact of implementation of OD on clinical practice**

332 The first master theme highlights the impact of the introduction of Open
333 Dialogue upon professionals' clinical practice. A prominent challenge refers to
334 difficulties linking OD theory and practice, whilst there is an acknowledgement of the
335 experiential aspect of the approach. Professionals are better able to question their
336 stance towards uncertainty and how this may impact ways of being with clients,
337 whilst maintaining a critical stance about the universality of OD and raising the
338 important question of what works for whom in psychotherapy.

339 ***Difficulties in linking theory with practice of OD.***

340 Professionals expressed their difficulties in bridging the theoretical aspects of
341 Open Dialogue and applying them in their clinical work with clients. This is most
342 likely the outcome of a lack of formal OD training amongst professionals, which may
343 be particularly accentuated as service users' mental health is often severely affected
344 upon referral. Professionals refer to a sense of ambiguity around ways of being with
345 clients, particularly the notions of therapist reflection and transparency in network
346 meetings.

347 *'...It appears to be ideal and captivating when I read about the OD approach*
348 *in theory, in the literature and through the research process. But when the*
349 *time comes to apply it in the work with a real person in distress, I think to*
350 *myself- ok, how can I really apply this, how do I do it? It is not something that*
351 *can just be applied as a set of skills, this seems to a whole new different*
352 *context above and beyond myself' (P4: extract from professionals' focus*
353 *group)*

354 *'Sometimes I get the sense, what do I do, what I am I trying to do and to what*
355 *extent do I understand what I am doing. To what extent am I a part of*
356 *this...Because having read about it is one thing, but having experienced it is*
357 *quite different...I think I will only be able to do it when I experience it myself.*
358 *At least this is what I think...I have never in my life been able to learn*
359 *something just by reading about it. There is a gap there...So I think this is*
360 *quite difficult' (P8: extract from professionals' focus group)*

361 *'For me, what still remains quite ambiguous is the part around reflective*
362 *practice...I am always anxious whether it is appropriate to self-disclose, what*
363 *is my motive, if the other person should hear it, whether it is helpful I mean for*
364 *them or whether I would like to share something more private...I think it is a*
365 *fine balance that can be quite facilitative or meaningful, or on the other hand*
366 *quite harmful I guess...' (P1: extract from professionals' focus group)*

367 *'...There is the issue or transparency here, and more precisely even honesty. I*
368 *can empathize with service user X, I can understand why she is frightened,*
369 *and I can mirror this- however, when she is telling me about how she is being*
370 *persecuted by everyone, I cannot confirm this...Perhaps this is something*
371 *lacking in my training theoretically and practically. Psychotherapy is*
372 *supposed to be about the reality principle...now you are going to think, which*
373 *reality? Reality is how the other feels or thinks she feels I guess...' (P10:*
374 *extract from professionals' focus group)*

375 **Containing uncertainty.**

376 Professionals are acknowledging the containment of uncertainty and a not-
377 knowing stance as a valuable albeit difficult aspect of the Open Dialogue approach.
378 They are able to reflect on their stance towards knowing and not-knowing stemming
379 from their own anxieties and need to remain in control.

380 *'There were times where I felt that my capacity for containing uncertainty was*
381 *exceeded in relation to the psychotic symptom. It is quite frightening to get*
382 *into people's delirium...It was scary to get into this narrative, it was as though*
383 *we were one and I couldn't deal with it' (P7: extract from professionals' focus*
384 *group)*

385 *'The way I have been trained, you do not get this deep into the symptom, you*
386 *focus more on reality and you liaise with the healthy part of the person, so to*
387 *speak...There have been times with my co-therapist where things got quite*
388 *scary for me, to get used to this and to find my own space and boundaries*

389 *within all this- I felt like I was losing myself... ’ (P7: extract from*
390 *professionals’ focus group)*

391 *‘There were times where we had to provide a solution because the meetings*
392 *were revolving around the same themes, the family was stuck, so we needed a*
393 *little push, a little problem- solving... ’ (P6: extract from professionals’ focus*
394 *group)*

395 *‘I think this is about our own issues around working with difficult service*
396 *users- so I sometimes agree with providing solutions. I think it is related to the*
397 *severity of the condition as well as our own difficulties with uncertainty, so we*
398 *resort to more monological interventions- it is safer. ’ (P3: extract from*
399 *professionals’ focus group)*

400 ***Cultural fit between OD approach and service user network***

401 Participants are maintaining a critical stance towards the universality of Open
402 Dialogue and begin to raise questions regarding the applicability and fit of the
403 approach, both in terms of culture as well as network characteristics and dynamics. In
404 particular, professionals begin to challenge the notion of OD as an ideal therapy and
405 to form more realistic expectations of it. Essentially, the team is reflecting upon the
406 important issue of what works for whom in psychotherapy and raises the issue of how
407 the approach interacts with specific service-user, network and therapist
408 characteristics.

409 *‘I think the network determines quite a lot of things, as it affects everything*
410 *else. It all began from the quality of the network and the mentality of each*
411 *family. Network X was quite easy to work with because they were quite open,*
412 *network Y was on the other end of the spectrum... ’ (P9: extract from*
413 *professionals’ focus group)*

414 *‘I saw that not everyone had the patience to see where this is all going to*
415 *lead...Some people were after a solution now, they wanted to get better. I*
416 *believe they wanted to carry on with OD but they could not wait for so long,*
417 *they wanted to feel better now and they underestimated everything else... ’*
418 *(P2: extract from professionals’ focus group)*

419 *‘I do not know how to assess this...some families appreciate the small changes*
420 *stemming from moments in the sessions, others saw nothing helpful at all...I*
421 *think this is related to the mentality of each family... ’ (P4: extract from*
422 *professionals’ focus group)*

423 *‘I think the key is to be able to comprehend the other person’s reality and to*
424 *be able to step in their shoes. Some families cannot do this at all whilst others*
425 *more so... I think this is an important parameter’ (P5: extract from*
426 *professionals’ focus group)*

427 *‘Internal polyphony sometimes is not possible. And it is usually not possible in*
428 *families where there is emotional unavailability, there is no connection to*
429 *feelings... ’ (P4: extract from professionals’ focus group)*

430 *'My thoughts are that OD is not a panacea, it is like all other psychotherapies*
431 *what works for whom? Like in an individual psychotherapy, you would be able*
432 *to say when making an assessment that psychoanalysis for example is not a fit*
433 *with this client. Perhaps it is an approach that doesn't suit everyone, I don't*
434 *know...'* (P1: extract from professionals' focus group)

435 **3.2. Impact of implementation of OD on professionals' team**

436 The introduction and implementation of Open Dialogue within an established
437 mental health team seems to have also impacted the dynamics and group processes of
438 the team of professionals over time. The onset of the present action-research and the
439 introduction of the new approach seems to have **offered professionals the opportunity**
440 **to reflect on their own personal, transformative journey over time.**

441 ***Experience of participating in the research.***

442 **Professionals are able to reflect upon their experiences of participating in the**
443 **present action-research and on how this process has evolved over time,** especially as
444 Open Dialogue was initially implemented in a top-down manner by the management
445 of the organization. Issues around fears of assessment and anxieties over criticism,
446 although still present to some, seem to have subsided and to have given way to seeing
447 researchers as allies that may operate as organizing and supportive for therapists along
448 the journey of OD.

449 *'I never felt that I was being assessed, although the researchers did not speak*
450 *during network meeting and they were keeping notes, but I never had the*
451 *feeling of being judged- quite the contrary, what I had in mind is that this*
452 *person is on our side and she will always have in mind my intention even if I*
453 *make a mistake...'* (P2: extract from professionals' focus group)

454 *'At the beginning I was anxious about what they were writing down, the notes*
455 *they kept, and I could not focus on the session at first but as time moved on, I*
456 *began to like this, to experience it as a supportive reminder of the Open*
457 *Dialogue principles and why we were there, and I was more focused...'* (P6:
458 *extract from professionals' focus group)*

459 *'I saw her more as a third eye in network meetings, she stood at a greater*
460 *distance compared to me in relation to the client and she could see more*
461 *clearly... So, I have always been looking forward to receiving*
462 *feedback...Having another person that is more external to our team, made me*
463 *more organized and boundaried, even with scheduling appointments...'* (P5:
464 *extract from professionals' focus group)*

465 *'My own feeling was that we were much stricter on ourselves than what we*
466 *ought to and we expected that somehow from the researchers at the beginning,*
467 *although this was not the case at all'* (P3: extract from professionals' focus
468 *group)*

469 *'I did not have the sense of being assessed, I was just working in the usual*
470 *way. At the beginning I did not know whether I should speak to her at all but*
471 *eventually I felt very connected with her, I felt I had someone to lean on, we*

472 *were chatting on our way back from network meetings and I experienced all*
473 *this as very helpful...’ (P4: extract from professionals’ focus group)*

474 ***Team openness and growth***

475 The theme of the multidisciplinary team’s openness has been ongoing since
476 the onset of the research project and seems to refer to both an external sense of
477 openness and receptivity towards new colleagues and ideas as well as an internal
478 sense of personal growth. It appears that the team has managed to make a significant
479 shift over time towards a stance of greater polyphony and inclusion that is being
480 experienced as enriching and meaningful, personally and professionally.

481 *‘We became more open as a team, we opened up to more voices, by letting*
482 *more people in (the researchers), something like what takes place in network*
483 *meetings amongst ourselves... Like we usually say in systemic therapy, a*
484 *closed system is the one that perishes in the end, an open system is adaptive*
485 *and flexible, and I think this is what has happened in our team... Even conflict*
486 *is not necessarily destructive and doesn’t mean the end...’ (P7: extract from*
487 *professionals’ focus group)*

488 *‘I was thinking about openness, not only therapeutically, but here, in our*
489 *team, how differently we interact we each other. Our morning reflective*
490 *exercises even in the presence of new people- we were not used to this, and*
491 *they were not used to us being open and then they became a part of all this.*
492 *The openness in our team when the researchers came, that was a significant*
493 *shift.’ (P10: extract from professionals’ focus group)*

494 *‘At the beginning of all this journey we were quite closed as a team I think, it*
495 *was as though we were into a merger. And anything external, coming from the*
496 *outside, researchers over the years, new colleagues, we felt as though it was*
497 *threatening because we also had this Ideal about ourselves that we can*
498 *manage everything and if we can’t, then we will be judged for it. We thought*
499 *we were the best because we can manage everything and if we couldn’t then*
500 *we were the worst. And now, we see that a Third, can enrich us and organize*
501 *us and we are quite welcoming of this now. I think there has been a great*
502 *transformation in our team over time, since the introduction of Open*
503 *Dialogue’ (P1: extract from professionals’ focus group)*

504 ***Challenging team omnipotence and acknowledging own boundaries.***

505 The introduction of Open Dialogue in a team of experienced mental health
506 professionals, along with the lack of training in the particular approach, seems to have
507 challenged professionals’ sense of expertise, authority and professional identity. Over
508 time, professionals have been able to reflect upon their own professional identities,
509 sense of omnipotence and anxieties over incompetence and criticism (something that
510 may be an outcome of the wider organizational culture), to acknowledge their own
511 limits and to move towards more realistic and meaningful ways of relating to
512 themselves and others.

513 *'The longer you work with OD, the more you open up space for your own*
514 *internal polyphony. And I think being able to hear more aspects of yourself,*
515 *acknowledging our own limitations and keeping our expectations realistic*
516 *allows us to say, well this is all that I can do, this is what I can. And I think*
517 *this is a qualitative change in our team and in every single one of us...' (P9:*
518 *extract from professionals' focus group)*

519 *'This year, I saw a change within myself, I do not need to hold people under*
520 *my wing, I am more ready to acknowledge endings and limits. At some point I*
521 *did say to my co-therapist, this is enough, we did what we could with this*
522 *family, which is something I didn't have before. On one hand, we are no*
523 *longer after a quick result or an impressive change, we give time and we*
524 *acknowledge small changes but then there comes a time when time is over,*
525 *and this is ok...' (P8: extract from professionals' focus group)*

526 *'We are able to put better boundaries at some point and this older sense that*
527 *we must have all the answers and solutions otherwise we are bad at our work,*
528 *we gradually abandon this sense of omnipotence that we are ideal and must be*
529 *able to manage everything.' (P3: extract from professionals' focus group)*

530 **High turnover of staff**

531 **Participant narratives reflect that the introduction of the Open Dialogue**
532 **approach is being experienced as having had a significant impact on the organization**
533 **as a whole and particularly so, amongst the professionals in the Open Dialogue team.**
534 There were significant role changes across all levels of the organization, with a
535 number of colleagues departing from the Open Dialogue team either as a result of
536 conflict, promotion to higher management or due to changes in their personal
537 circumstances. For a short period of time, there was a high turnover of staff in the OD
538 team, with several colleagues joining and then leaving the team within a brief period
539 of a few months, something that seems to have caused a sense of discontinuity and
540 instability amongst professionals. Participants are reflecting upon this period and the
541 ways they feel that organizational changes may have impacted their clinical practice.

542 *'The first thing that comes to my mind is the departure of colleagues from the*
543 *team that upset the balance of the therapeutic couples I think and it did cause*
544 *a discontinuity for a while...A lot of changes took place over time not only in*
545 *our OD team but also the organization. Many people left, others changed*
546 *roles and all this on top of the severity of our clients' mental health can cause*
547 *a lot of people leaving...' (P5: extract from professionals' focus group)*

548 *'Since our team changed, with all these departures of colleagues, I got this*
549 *sense that we will, well and we did, I think, regress to an earlier stage and we*
550 *were closer to ACT rather than OD. It was around the time when people left,*
551 *and new people came into the team and I had mentioned it then in our*
552 *meetings that we became more ACT than OD for a while...' (P6: extract from*
553 *professionals' focus group)*

554 *'Well yes, this does make sense, when a system is de-stabilized it is inevitable*
555 *that it will move towards what is familiar to be able to find its balance again,*

556 *to find its base before venturing out again and I think the high turnover of*
557 *colleagues in our team made us, very wisely I think, regress to what we knew*
558 *best, to maintain our self-esteem until the team is restored and new members*
559 *are integrated...’ (P3: extract from professionals’ focus group)*

560 **4. Discussion**

561 The present study is part of a larger action-research exploring the introduction and
562 implementation of OD within the clinical practice of a multidisciplinary team of
563 mental health professionals. The present study aimed at exploring the subjective
564 experience of professionals in the process of implementing aspects of OD in their
565 practice as well as of taking part concurrently in the action-research, aiming to
566 support the introduction and implementation of OD initially in the context of the Day
567 Centre and later in the wider organization of E.P.A.P.S.Y. (Dawson et al., 2020).

568 Findings from the professionals’ focus group suggest that the implementation of
569 OD has impacted mental health professionals across two main areas: their clinical
570 practice and the group dynamics in the OD team.

571 Mental health professionals in this study expressed a difficulty in linking the
572 theory with the practice of OD, especially with respect to implementing dialogical
573 ways of being with others, particularly when working with service- users in crisis.
574 The notion of reflective practice is regarded as crucial; however, professionals appear
575 uncertain as to how to maintain appropriate boundaries between genuine, reflective
576 practice and self- disclosure. Equally, maintaining a not-knowing stance is
577 acknowledged as the greatest challenge for therapists, particularly under difficult
578 circumstances where regressing to pre-existing psychiatric practices and notions of
579 expertise relieve professional anxiety and restore a sense of control over the
580 therapeutic process (Seikkula & Olson, 2003; Skourteli, et al., 2019; Stylianidis,
581 2019b). Therapists in the present study report that containment of uncertainty was
582 experienced as an absence of pressure to respond immediately to both network and
583 their own expectations of themselves as omnipotent therapists, both during each
584 meeting and overall, during the service user’s course of recovery. Sometimes the use
585 of monological responses around critical issues of medical care and risk to self or
586 others (as in cases of domestic violence) was deemed as necessary, however therapist
587 attunement, flexibility and capacity to adjust to the ongoing network needs allowed
588 them to gradually restore a dialogical stance (Borchers, 2014; Schubert et al., 2020;
589 Stockmann et al., 2017). **Although these challenges are most likely due to the lack of**
590 **experience and formal, systematic training in OD, they are consistent with findings**
591 **reported in the literature.** According to Seikkula (2011), a significant portion of
592 experienced and skilled mental health professionals present difficulties with the
593 notion of dialogism since this is not a method or a technique but a way of being with
594 others. In that respect, therapists who are required to participate in a meaningful,
595 embodied and genuine way in the here-and-now, may often feel uncertain as to the
596 experiential ways of implementing a dialogical stance (Seikkula & Arnkil, 2013; Buus,
597 et., 2017, 2022; Ong & Buus, 2021; Kinane et al., 2022).

598 The notion of a cultural fit of Open Dialogue across different cultural and
599 social contexts was acknowledged as an important parameter to be taken into account

600 by participants in this study. Professionals seems to develop a less idealized view of
601 Open Dialogue and to gain a more realistic view of what works for whom in
602 psychotherapy (Norcross & Wampold, 2011). Participants report that the mentality
603 and relationships among different members determine the quality and openness of the
604 dialogue during network meetings. Further, the attitudes, culture and philosophy of
605 each network seems crucial in the communication, sensitivity, and openness towards
606 dialogical interventions; this is consistent with literature posing the issue of a realistic
607 therapeutic and cultural match between approach and client (Johansen & Bille, 2005;
608 Ong et al., 2019; Tribe et al, 2019). For example, Buus et al. (2017) report that
609 families with a strong belief in authority and an expectation of being directed by
610 mental health professionals may find the open format of the approach confusing and
611 frustrating. Indeed, bearing in mind the Hellenic culture that values hierarchy and
612 expertise, some families in the present study both expected and insisted on receiving
613 direct advice and solutions from co-therapists and seemed to be lacking the capacity
614 to contain the dialogical aspect of the interventions; for such networks, polyphony
615 was viewed as chaotic, unhelpful and confusing thus preventing opportunities for
616 observing small changes in the dynamics of the network over time. **In cases where
617 therapists resorted to more monological interventions, they report that it was their
618 capacity to internally maintain a dialogical stance that allowed them to restore
619 polyphony when the networks' capacity to accommodate them was reinstated; this
620 recommendation has also been made by Ong & Buus (2021).** Professionals'
621 reflections from the focus group in the present study seem to suggest that therapists
622 from different theoretical orientations utilized OD as a basis for integrating other
623 aspects of psychotherapeutic practice according to individual networks' needs
624 (Seikkula & Arnkil, 2013; Buus et al, 2017; Dawson et al., 2019; Freeman et al.,
625 2019).

626 Findings produced from the professionals' focus group suggest that the
627 introduction of Open Dialogue within the service continues to have a potent impact on
628 group and organizational dynamics. Participants are reflecting and taking stock of the
629 growing openness of the OD team over the past five years since the introduction of
630 Open Dialogue in the service of the Day Centre. This openness essentially refers to
631 the developing polyphony in the professionals' team and within each participant
632 separately, regarding new ideas, new people as well as several systemic changes
633 within the organization. It also refers to an internal shift from a position of mistrust to
634 a more open relational and philosophical stance towards self and others that may
635 reflect the significant personal journey towards becoming a dialogical therapist. The
636 experience of participating in the present research also appears to have changed over
637 time; the professionals' team seems to have moved away from fears of inadequacy
638 and criticism to seeing the research as supportive of the implementation and as a
639 valued opportunity for ongoing personal and professional development (Galbusera &
640 Kyselö, 2019; Buus et al., 2022).

641 This process of becoming a dialogical therapist further seems to be reflected in the
642 acknowledgement of boundaries and limitations of the professionals' team, as
643 produced by participant narratives. Therapists appear to be challenging the
644 omnipotence and idealized view of team (as well as Open Dialogue approach itself)

645 encountered in the early phases of the study and to be moving away from notions of
646 monology, authority and expertise towards a position of greater internal and external
647 polyphony.

648 Looking back, it appears as though the introduction of the Open Dialogue
649 approach in this multidisciplinary team of mental health professionals has instigated a
650 macroscopic transformative process in aspects of the organization itself. Firstly, it
651 seems to have incited rapid changes in the constitution of the professionals' team as
652 well as a significant structural reform across different levels of management over
653 time. Since such changes were often experienced as traumatic by employees, as
654 reflected by references to the high turnover of staff over the past five years, the
655 management of the organization introduced regular supervision (both clinical and
656 group) in order to reduce conflict and promote tolerance and polyphony within the
657 team, as informed by early findings of the study. **It needs to be noted here that it was**
658 **perhaps the lack of formal, systematic training in OD or other organizational**
659 **characteristics prior and during the implementation process that may have contributed**
660 **towards the overwhelming impact reported in participant narratives and not Open**
661 **Dialogue as an approach per se.** Indeed, over the course of the present action-research,
662 there was ongoing dialogue, reflection and feedback between the research team,
663 participants themselves and the management of the organization, in order to ensure
664 that implementation attempts are guided and co-constructed through polyphony and
665 co-operation across different levels. It appears that a greater investment is being made
666 on the Open Dialogue approach over time through the acknowledgment of the
667 pressing need for formal, systematic training as well as through attempts to expand
668 the implementation of the Open Dialogue approach to other services of the
669 organization (residential, mobile units etc.), outside the Day Centre.

670 To sum up, the present action-research seems to have contributed significantly not
671 only to the introduction and implementation the Open Dialogue approach within an
672 established mental health service but also to the exploration of its impact upon
673 professionals and organization with the view to supporting implementation attempts
674 in the long-term. In short, the research presents a coherent story about the team's
675 journey with Open Dialogue over time; this journey may provide insight into the
676 readiness of mental health professionals to adopt aspects of the Open Dialogue as well
677 as the challenges and main issues that may emerge throughout this process.

678 **5. Conclusions and limitations**

679 **A significant strength of the present implementation of Open Dialogue in Greece**
680 **is that it has been developed in close collaboration with the two main Universities of**
681 **Athens (Panteion University- Laboratory of Psychopathology, Social Psychiatry and**
682 **Developmental Psychology and National and Kapodistrian University of Athens-**
683 **Laboratory for Qualitative Research in Psychology & Psychosocial Well-being).** The
684 **relationship to universities and academic departments has been recommended in the**
685 **literature for the strengthening and institutionalizing of the Open Dialogue approach**
686 **and for the development of larger research programs in the field of dialogical**
687 **practices across different contexts (Buus et al, 2017).**

688

689 The present paper highlights the pivotal role of mental health professionals in
690 cultivating a new philosophy and practice in psychiatric care through presenting a
691 multidisciplinary team's journey with Open Dialogue and its transition from a
692 monological to a dialogical epistemological stance. It seems important to highlight
693 that even within innovative mental health organizations that are committed to the
694 principles of recovery and empowerment, there are still significant collective defenses
695 that may stem both from the threat to one's professional identity and the deeply rooted
696 impact of the paternalistic model in psychiatry (Hussain et al., 2018; Stylianidis,
697 2019b; Tribe et al., 2019).

698 In particular, the study may contribute towards the identification of the challenges
699 and resistances encountered by mental health professionals with regard to issues of
700 authority, hierarchy and expertise, when asked to engage in attempts that challenge
701 notions of traditional psychiatric care. **The findings emerging from the present study**
702 **seem consistent with those reported in previous research (Buus et al, 2017; Ong**
703 **&Buus, 2021; Kinane et al., 2022). Buus et al. (2017) report that the OD approach**
704 **often generated resistance even amongst practitioners with formal training in OD,**
705 **whose positions were challenged in different ways, although the authors remain**
706 **skeptical as to whether such resistance is more pervasive compared to any approach**
707 **that promotes reform of mental health services and includes the re-positioning of**
708 **users and professional in the treatment setting; the authors go on to challenge the**
709 **assumption of a universal 'cultural' fit between the OD approach and to acknowledge**
710 **the characteristics of different networks (Buus et al, 2017). Similarly, Kinane et al.**
711 **(2022) report that whilst for some service users, reflexive practice was experienced as**
712 **strange and uncomfortable, professionals found the OD approach a valuable reflective**
713 **space aiding the development of relationships and dialogue with each other and the**
714 **acknowledgement of the power dynamics in the professionals' team. Finally, Ong &**
715 **Buus (2021) address the lack of precision and specificity around what constitutes**
716 **dialogical practice that may contribute towards the ambiguity and uncertainty often**
717 **encountered even by trained professionals. Overall, however, participants in the**
718 **present study report experiencing Open Dialogue as enriching and valuable not only**
719 **for their clinical practice but primarily for their personal development. Nevertheless,**
720 **the present study further raises the question of the adaptability of the Open Dialogue**
721 **approach across different contexts whilst highlighting the organizational parameters**
722 **that are required for implementation attempts to be viable and sustainable over time.**
723 **More research in the area certainly seems necessary to highlight challenges and issues**
724 **encountered during implementation attempts of the model across different contexts.**

725 However, the present study is not without limitations. Firstly, participants in
726 the present study had not received any formal OD training and from that perspective
727 the overall challenges and difficulties encountered may be due to the lack of exposure
728 to experiential aspects of the model such as the use of the dialogical self. Furthermore,
729 the present study included a very small sample of professionals, which may shed
730 some light on a local level on one hand but may make generalization to other contexts
731 somewhat difficult.

732 A crucial question that may remain is the notion of what works for whom in
733 psychotherapy; as with other theoretical approaches the case may be that OD may be

734 more or less compatible with some but not all service users and their networks,
735 bearing in mind the clinical, cultural, educational and socio-economic variables of
736 each network and setting. Within that, it seems important to safeguard the notion that
737 the theoretical approach fits service-user needs rather than vice versa (Browne et al.,
738 2019). Nevertheless, the perspective of consolidating and embracing Open Dialogue
739 as a philosophical framework underpinning mental health care may further advance
740 ongoing attempts towards psychiatric reform and a change of culture in psychiatric
741 care with benefits on a micro, meso- and macro- levels of society.

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